

Practitioner's Docket No. ARC2940R1



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Geerke, Johan H.

Application No.: 09/735,989 Group No.: Unkn
Filed: December 13, 2000 Examiner: Unknown
For: Dosage Forms Having a Barrier Layer to Laser Ablation

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT FOR INFORMATION DISCLOSURE
UNDER 37 C.F.R. Section 1.97(e)

IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT
FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Information Disclosure Statement accompanying this statement.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. Section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 1/09/2001

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office, (703) ____-____.

Katrina M. Ghafghaichi
Signature

Katrina M. Ghafghaichi
(type or print name of person certifying)

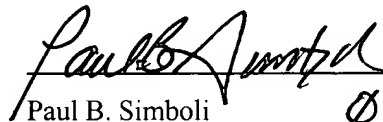
STATEMENT

2. I, the person signing below, state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(2).

IDENTIFICATION OF PERSON MAKING THIS STATEMENT

3. The person making this certification is:

- (a) the practitioner who signs below on the basis of the information in the practitioner's file.


Paul B. Simboli
ALZA Corporation
1900 Charleston Road, P.O. Box 7210
M10-3
Mountain View, CA 94039-7210
United States of America

09 JAN 2001

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either

- (1) a final action under section 1.113 or
(2) a notice of allowance under section 1.311

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

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Katrina M. Ghafghaichi
Signature

Katrina M. Ghafghaichi
(type or print name of person certifying)

whichever occurs first.

STATEMENT

2. Accompanying this transmittal is a statement as specified in 37 C.F.R. section 1.97(e).



Paul B. Simboli
ALZA Corporation
1900 Charleston Road, P.O. Box 7210
M10-3
Mountain View, CA 94039-7210
United States of America

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